

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Serial No..... Not Yet Assigned
 3 Filing Date..... Herewith
 4 Inventorship..... Xu
 Applicant.....Microsoft Corporation
 5 Attorney's Docket No.MS1-1672US
 Title: Enhancement Layer Switching for Scalable Video Coding

6 **INFORMATION DISCLOSURE STATEMENT**

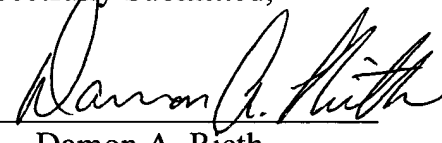
7 *References -- See Attached Form PTO-1449*

8 **REMARKS**

9 The citations listed, copies attached, are submitted in compliance with the
 10 duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make
 11 these citations of official record in this application.

12 Respectfully Submitted,

13 Date: 12/1/03

14 By: 
 15 Damon A. Rieth
 16 Reg. No. 52,167

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| Examiner Signature | | Date Considered | |
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| | | Application Number | Not Yet Assigned | | |
| | | Filing Date | Not Yet Assigned | | |
| | | First Named Inventor | Xu | | |
| | | Group Art Unit | Not Yet Assigned | | |
| | | Examiner Name | Not Yet Assigned | | |
| | | Attorney Docket Number | MS1-1672US | | |
| Sheet | 2 | of | 2 | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials [*] | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Hsiang-Chun Huang, et al.; A Robust Fine Granularity Scalability Using Trellis-Based Predictive Leak; IEEE Transactions on Circuits and Systems for Video Technology, Vol. 12, No. 6, June 2002. | |
| | | Feng Wu, et al.; A Framework for Efficient Progressive Fine Granularity Scalable Video Coding; IEEE Transactions on Circuits and Systems for Video Technology, Vol. 11, No. 3, March 2001. | |
| | | Xiaoyun Sun, et al.; Seamless Switching of Scalable Video Bitstreams for Efficient Streaming; Department of Computer Application, Harbin Institute of Technology, Microsoft Research Asia, Beijing, pages III-385 - 388; 0-7803-7448-7/02; 2002 IEEE. | |
| | | Xiaoyun Sun, et al.; Flexible and Efficient Switching Techniques Between Scalable Video Bitstreams; Department of Computer Application, Harbin Institute of Technology, Microsoft Research Asia, Beijing, 4 pages.. | |
| | | Xiaoyun Sun, et al.; Macroblock-Based Progressive Fine Granularity Scalable (PFGS) Video Coding with Flexible Temporal-SNR Scalabilities; Department of Computer Application, Harbin Institute of Technology, Microsoft Research Asia, Beijing, 4 pages. | |
| | | Yuwen He, et al.; H.26L-Based Fine Granularity Scalable Video Coding; Computer Science and Technology Department; Tsinghua University, Microsoft Research Asia, Beijing; pages IV-548 - IV551; 0-7803-7448-7/02; 2002 IEEE. | |
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